

MAR 15 2002

FORM PTO-100
(REV 11-01)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEYS EXCEL NUMBER

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

4325/PCT

U.S. APPLICATION NO. (if known, see 37 CFR 1.55)
(not known, to be assigned) **10/088183**

INTERNATIONAL APPLICATION NO.
PCT/JP01/05860

INTERNATIONAL FILING DATE
05.July 2001 (05.07.01)

PRIORITY DATE CLAIMED
19.July 2000 (19.07.00)

TITLE OF INVENTION
HARD SINTERED COMPACT THROWAWAY TIP

APPLICANT(S) FOR DO/EO/US
Yasuyuki KANADA; Tomohiro FUKAYA; Satoru KUKINO

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
 2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
 3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
 4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
 5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☒ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
 6. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2)). *With Translator's Declaration*
 7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
 8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
 9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
 10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).
- Items 11. to 16. below concern document(s) or information included:
11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98. *Form PTO-1449, 5 references*
 12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
 13. ☒ A **FIRST** preliminary amendment.
☒ A **SECOND** or **SUBSEQUENT** preliminary amendment.
 14. ☐ A substitute specification.
 15. ☐ A change of power of attorney and/or address letter.
 16. ☒ Other items or information:
 - a) a return postcard, Search Report (English)
 - b) Form PTO-2038 (\$ **930.00**) to cover filing fee & Assignment Recordal;
 - c) 12 Figs. on 6 sheets of formal drawings;

The priority of Japanese Patent Application 218806/2000 filed in Japan on July 19, 2000 is claimed under 35 U.S.C. § 119.

This application has been assigned to SUMITOMO ELECTRIC INDUSTRIES, LTD; Address: 5-33, Kitahama 4-chome, Chuo-ku, Osaka-shi, Osaka, 541-0041 Japan. The Assignment is being submitted herewith for recordal.

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| APPLICATION NO. 107088183 (not known to be assigned) | | INTERNATIONAL APPLICATION NO. PCT/JP01/05860 | | ATTORNEY'S DOCKET NUMBER 4325 | |
| 17. <input type="checkbox"/> The following fees are submitted: BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)): Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO \$970.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO 890.00 \$840.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$760.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$670.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4) \$96.00 ENTER APPROPRIATE BASIC FEE AMOUNT = | | | | CALCULATIONS PTO USE ONLY | |
| | | | | \$ 890.00 | |
| Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)). | | | | \$ | |
| CLAIMS | NUMBER FILED | NUMBER EXTRA | RATE | | |
| Total claims | 12 - 20 = | 0 | X \$18.00 | \$ | |
| Independent claims | 2 - 3 = | 0 | X \$78.00 | \$ | |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable) | | | | + \$260.00 | |
| TOTAL OF ABOVE CALCULATIONS = | | | | \$ 890.00 | |
| Reduction of 1/2 for filing by small entity, if applicable. A Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28). | | | | \$ | |
| SUBTOTAL = | | | | \$ 890.00 | |
| Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)). | | | | \$ | |
| TOTAL NATIONAL FEE = | | | | \$ | |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property | | | | + \$ 40.00 | |
| TOTAL FEES ENCLOSED = | | | | \$ 930.00 | |
| | | | | Amount to be: refunded \$ charged \$ | |

a. ☒ Form PTO-2038 (Credit Card Payment Form)
 Check in the amount of **\$ 930.00** to cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees.
 A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any deficiency in or
 overpayment to Deposit Account No. 50-0507 additional fees which may be required, or credit any
 A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO

USPTO CUSTOMER NO.

021553

Walter F. Fasse

SIGNATURE **3/15/02**

NAME **Walter F. Fasse**

36132

REGISTRATION NUMBER

Our Case No.: 4325
Inv.: Y. Kamada et al.
10/088183
JC13 Rec'd PCT/PTO 15 MAR 2002

VERIFICATION

The undersigned, of the below address, hereby certifies that he/she well knows both the English and Japanese languages, and that the attached is an accurate English translation of the PCT application filed on July 5, 2001 under No. PCT/JP01/05860

The undersigned declares further that all statements made herein of his/her own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Signed this 5th day of March, 2002

Signature: Tatsuya Nishimoto
Name: Tatsuya NISHIMOTO

Address: c/o Itami Works of Sumitomo Electric Industries, Ltd.
1-1, Koyakita 1-chome, Itami-shi, Hyogo, Japan

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